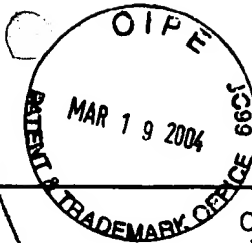




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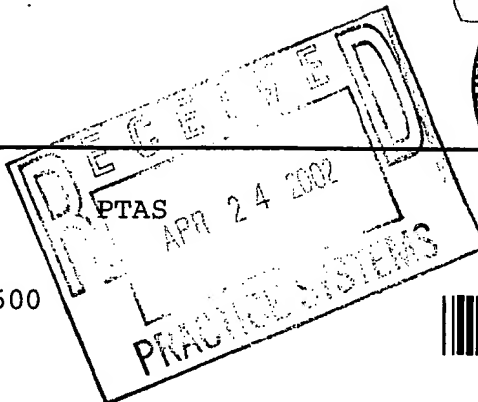
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APRIL 17, 2002

FISH & RICHARDSON P.C.
WILLIAM J. EGAN, III
500 ARGUELLO ST., SUITE 500
REDWOOD CITY, CA 94063



Chief Information Officer
Washington, DC 20231
www.uspto.gov



101992243A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/08/2002

REEL/FRAME: 012594/0656
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
SEWARD, KIRK PATRICK

DOC DATE: 01/02/2002

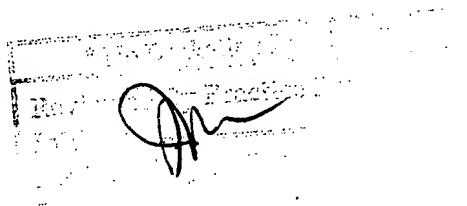
ASSIGNOR:
PISANO, ALBERT P.

DOC DATE: 12/18/2001

ASSIGNEE:
REGENTS OF THE UNIVERSITY OF
CALIFORNIA, THE
1111 FRANKLIN STREET, 12TH FL.
OFFICE OF THE PRESIDENT
OAKLAND, CALIFORNIA 94607-5200

SERIAL NUMBER: 09961079
PATENT NUMBER:

FILING DATE: 09/20/2001
ISSUE DATE:



012594/0656 PAGE 2

PAULA MCCRAY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



02-26-2002

Substitute Form PTO-1595
Attorney Docket No.: 07043-091001
Client's Ref. No.: UCB-B01-049-1

REC



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Commissioner for Patents: Please record the attached original document(s) or copy(ies).	
1. Name of conveying party(ies): 2-8-02 Kirk Patrick Seward and Albert P. Pisano Additional name(s) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): The Regents of the University of California Office of the President 1111 Franklin Street, 12th Fl. Oakland, CA 94607-5200 Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: 12/18/2001; 01/02/2002	
4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: A. Patent Application No(s): <u>09/961,079</u> B. Patent No(s): Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name/address of party to whom correspondence concerning document should be mailed: WILLIAM J. EGAN, III Fish & Richardson P.C. 500 Arguello Street, Suite 500 Redwood City, California 94063	6. Total number of applications/patents involved: 1 7. Total fee (37 CFR §3.41): \$40 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to charge Deposit Account. 8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.
DO NOT USE THIS SPACE	
9. Statement and Signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> William J. Egan, III Reg. No. 28,411 Name of Person Signing Signature: Date: <u>1/22/02</u>	
Total number of pages including coversheet, attachments and document: 5	

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner of Patents, Washington, D.C. 20231.

January 22, 2002
Date of Deposit
SignatureJudith Cotham
Typed Name of Person Signing Certificate

ASSIGNMENT

U.C. Case No. UCB-B01-049-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Kirk Patrick Seward of 5050 Hacienda Drive #2037 Dublin, CA 94568; 2. Albert P. Pisano of 50 Kendall Lane, Danville, CA 94526;

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in UCB Case No. UCB-B01-049-1 entitled:

A METHOD OF INTERVENTIONAL SURGERY

and which is found in

- (a) ____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) ____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X ____ U.S. application serial no. 09/961,079, filed on September 20, 2001
- (d) ____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

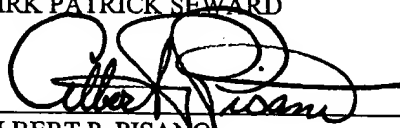
executed this

____ day of _____, 20____

18 day of DEC, 20 01

Signature of Inventor(s)

KIRK PATRICK SEWARD


ALBERT P. PISANO

ASSIGNMENT

U.C. Case No. UCB-B01-049-1

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- (d) ☐ U.S. Patent No.: _____, issued _____

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executed this

2nd day of January, 2002

____ day of _____, 20____

Signature of Inventor(s)

KPS
KIRK PATRICK SEWARD

ALBERT P. PISANO